



OTCtrader - *Connecting buyers & sellers*

Product Description



The OTCtrader is a leading edge FSA regulatory compliant (CP 07/9 and PS07/18) messaging platform, specifically designed to improve order flows for trading communities in the Over The Counter (OTC) Markets. It provides a complete end-to-end fully compliant messaging solution for any asset class in the OTC Market. The full product is built up using many customised components of which trade messaging and compliant audit-logging are the base components. The functions which can be provided include:

- Pre-Trade tiered quotes/price dissemination
- Send and receive group messages from a single window
- Multi-Cast to group or one-to-one across all instant messaging channels simultaneously (e.g. Yahoo, MSN, AOL and OTCtrader)
- Off-Line message receipts when on-line again
- Order flow displays according to client types or product types
- Unique Group Messaging functions for optimum efficiency of messaging
- Optional Real-time streaming news and broker/dealer price dissemination
- Online directory services
- Optional customised electronic order entry, Trade confirmations and Transactions history
- Full audit trail logging for regulatory compliance and operational risk monitoring
- Conversational Over-view functions by users, Group heads, management or compliance
- Fully Hosted on the Internet Back-bone
- Fully supported 24 X 7

Pre-Trade pricing and negotiations

The OTCtrader Messaging functions allow traders to publish prices to multiple groups of counterparty traders in a single window. These groups can easily be set up to show counterparty traders under different product types that are being traded. The user can set up different views, Hot Windows, Hot Groups Messaging (Figure 2).

User can also form a private community using OTCtrader to OTCtrader communications thus having the ability to send Indications Of Interest (IOI) without using public messaging platforms. Full audit trail management (for SOX, SEC and FSA compliance rules) as well as comprehensive monitoring and management functions are also provided.

Customised online directory can be available in order to quickly find internal users or external counterparty user details such as e-mail address or phone number or Instant messaging address.

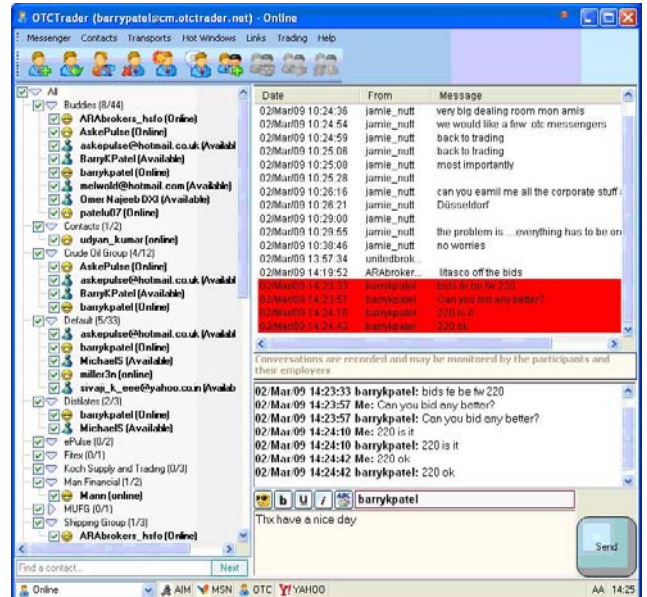


Figure 1 - Pre-Trade negotiations – All Groups

Users can create any number of hot groups and hot windows according to user preferred organisation of counterparties and product types being traded.

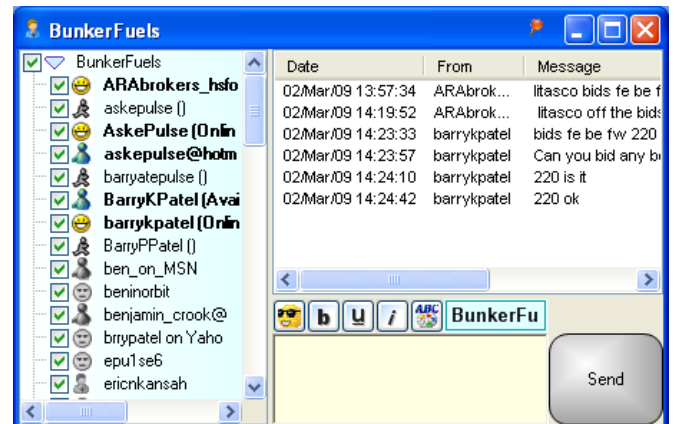


Figure 2 – Hot group (e.g. Bunker Fuels)

Real time price distribution

Optional facilities are provided for traders to disseminate real-time or snapshot prices. These prices can either be derived from Excel Spread Sheet models or from internal rates feeds. The prices can be distributed via pure HTML web pages (Figure 3).

The prices can be provided with financial institutions own corporate identity. These prices can be provided as pure HTML web pages, thus ensuring the widest possible reach in order to maximise order flows and any Indications of Interest.

For more information and free trials:
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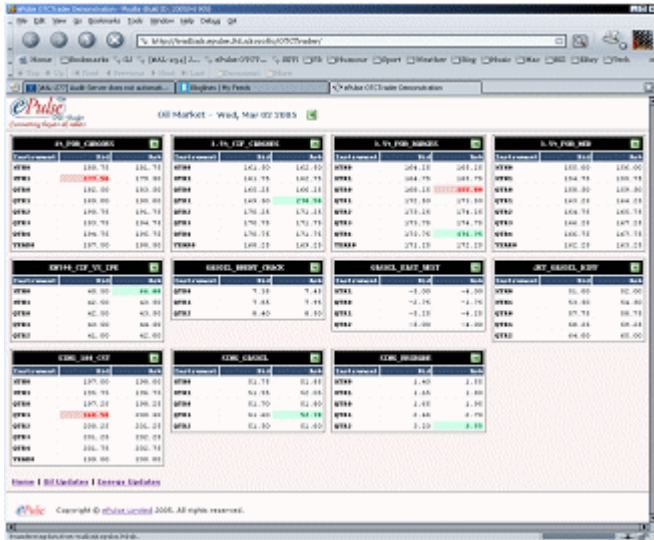


Figure 3 – Streaming Markets or Excel Web Prices

Compliance

All Trade Conversations as well as all Transactions can be stored securely in the ePulse Audit Logger (eAL).

Either the traders or management or the banks compliance staff can interrogate these conversations using time indexed or key word searches.

This is the key component for regulatory compliance and operational risk management.

The ePulse Audit Logger (eAL) is a generic regulatory compliant (FSA SYSC 3.2.20 and PS 08/1) solution for Archiving, Monitoring, Auditing, Reporting and logging of messages. Up to 7 years on-line history is available depending on client requirements. Audit extraction facilities can also be provided to in-house databases.



FURTHER INFORMATION

Please contact ePulse Limited for further details at the address below.

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